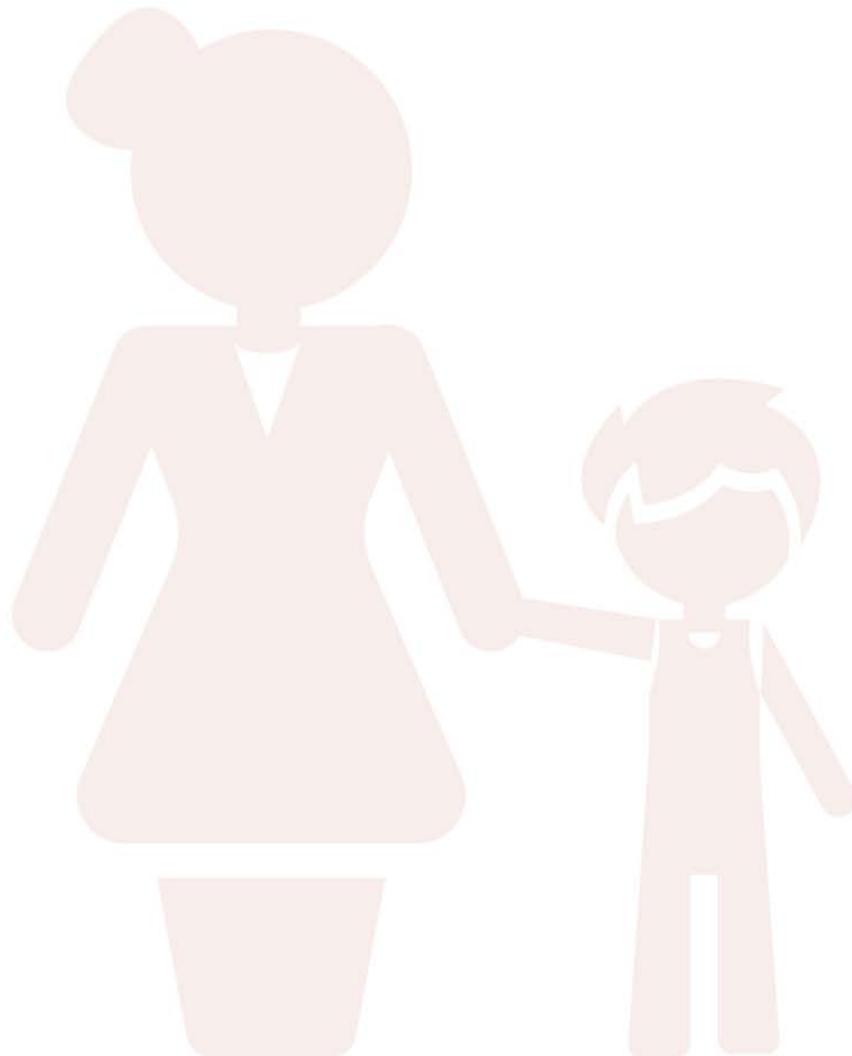




Administration for Children and Families
U.S. Department of Health and Human Services



FY 2016 CLASS[®] Field Guide



OHS CLASS[®] Reviewer Field Guide FY 2016

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1. Introduction

The Pre-K Classroom Assessment Scoring System[®] (CLASS[®]) is an observation tool used to analyze and assess the effectiveness of interactions between children and teachers in preschool classes. Data from CLASS[®] observations can be used for a variety of purposes, including professional development, program improvement, policy-making, and monitoring. The Office of Head Start (the OHS) began using the CLASS[®] tool in Fiscal Year (FY) 2010 to collect for monitoring purposes information about the experiences of children in Head Start programs. The OHS uses a research-based, standardized methodology for using the CLASS[®] tool to measure teacher-child interactions: one important measure of quality. This methodology is described in detail in this CLASS[®] Reviewer Field Guide.

The FY 2016 CLASS[®] Field Guide is organized into several sections:

1. Introduction

2. CLASS[®] Observations

3. CLASS[®] Reviewer Reliability

4. Onsite Activities

5. The CLASS[®] Sample and Scheduling

Use of the CLASS[®] software is covered in a separate CLASS[®] Software Guide.

2. CLASS[®] Observations

2.1 CLASS[®] Observation Cycles

In each class selected for observation, CLASS[®] Reviewers conduct two observation cycles. Each observation cycle should include a 20-minute observation followed immediately by 10 minutes of scoring and up to 5 minutes answering background questions. If an observation cycle is cut short, the CLASS[®] Reviewer should score the observation if the cycle lasts 10 minutes or more. If the observation lasted less than 10 minutes, it should not be scored and does not count. Once a Reviewer begins an observation cycle, it should never be paused and continued later. Scoring must occur immediately after the 20-minute observation cycle and must be conducted outside the classroom.

The two observation cycles within each class must be consecutive. The CLASS[®] Reviewer must complete both observation cycles for a given class before moving to observe another class. The two observation cycles within the class should ideally occur on the same day and should be back to back, with a break only for an unobservable activity. For example, if a CLASS[®] Reviewer conducts one observation cycle in class A, and the children in that class then go down for a nap, the Reviewer may not observe another class while waiting for nap time to end. Instead, the Reviewer must wait until the children wake up and then complete the second observation cycle. Only after the second observation cycle has been conducted in class A may the Reviewer observe another class. However, it is acceptable for a Reviewer to conduct an observation in a class at the end of the school day and return to conduct the second observation in the same class the following morning, provided the Reviewer did not conduct any other observations in the interim.

Reviewers should always make sure it is feasible to conduct two consecutive observation cycles in a class prior to beginning the first observation. If a Reviewer has conducted one observation cycle in a class but unexpectedly cannot complete a second, he or she should indicate in the software that the second observation could not be completed and enter a justification describing why. If time does not permit the Reviewer to return to the class, it is reasonable to have one or two classes in a sample in which only one observation cycle is conducted.

2.2 When to Conduct a CLASS[®] Observation Cycle

Reviewers must observe only at appropriate times to ensure that the CLASS[®] tool is used in a valid and consistent way across all grantees.

Observable Activities

Observations **CAN** and **SHOULD** be conducted during any of the following:

- ▶ **Teacher-directed activities**
- ▶ **Child-initiated activities**
- ▶ **Large- and small-group times**

- ▶ **Morning or afternoon**, (Afternoon may include time after naps.)
- ▶ **Meals/snacks.** Mealtimes are acceptable observation times, regardless of location (classroom, cafeteria, or elsewhere). If a meal occurs in a cafeteria in which other classes that are not being observed are present, CLASS[®] Reviewers **should still** observe. Reviewers should position themselves close enough to the relevant class to hear the interactions.
- ▶ **Transitions.** Observations should continue (or may begin) during and through transitions. If the children transition from center activities to handwashing and then to snack during an observation cycle, Reviewers should continue to observe throughout those activities and transitions. Other examples of transitions include children selecting center activities at the end of circle time and getting ready to go outside.
- ▶ **Arrival or departure times.** Reviewers may review arrival or departure times providing 50 percent of the children are present. If less than 50 percent of the children have arrived or over 50 percent of the children have left for the day, the class is no longer observable.
- ▶ **Structured gross-motor activities (indoor or outdoor).** Gross-motor activities that are part of the instructional day should be observed. Examples may include a nature walk or teacher-led activities/games played outside or in a gym area.
- ▶ **Outdoors or indoors.** If the activities are conducted outdoors and are similar to activities that would be observed indoors, the time is observable. For example, in warmer climates, the class may be conducted in an outdoor “classroom,” or meals may be eaten outside.

Observations are **NOT** to be conducted during any of the following:

- ▶ **Naptime**
- ▶ **When less than 50 percent of the enrolled children are present.** If less than 50 percent of the enrolled children are present in a class, it cannot be observed. The percentage of children present is based purely on the number of children enrolled and present; the percentage does not take into account a child’s funding source (e.g., Head Start, Pre-K, etc.). The OHS needs to monitor the quality of classes in which Head Start-funded children are enrolled, regardless of which program’s children are present at any given time.
- ▶ During **Unstructured gross-motor activity (indoor or outdoor).** If the class is engaged in gross-motor activity with no teacher-led structured activities, the Reviewer should not conduct an observation.
- ▶ **During special events.** If events take place that do not reflect a typical day, no observation should be conducted. Examples include fire drills, Grandparents’ Day lunch, and other special events.
- ▶ **Times the class is being led by an individual other than the regular primary teaching staff.** Support staff or volunteers may be present in the classroom as additional staff, but the class is

not observable when the class is led by such individuals. Examples include the Nutrition Coordinator leading a lesson on healthy foods, visiting firefighters talking to the children about safety, or other non-regular classroom staff leading an activity.

- ▶ **When the CLASS[®] Reviewer is not fluent in the primary language(s) of instruction.** Reviewers must be able to understand the interactions between teachers and children and therefore cannot observe if they do not fully understand the primary language of instruction spoken in the classroom during any given observation cycle.

Presence of Teaching Staff/Substitutes

CLASS[®] observations should be conducted when the lead teacher is present and engaging with the class, although Reviewers may observe the entire classroom environment and any teacher-student interactions and do not need to focus exclusively on the interactions of the lead teacher. The following are clarifications to this rule:

- ▶ **New Teacher.** A new teacher who will be a permanent teacher in a class may be observed after being in the class for 10 or more consecutive school days. A new teacher who has been in the class fewer than 10 school days should not be observed.
- ▶ **Substitutes for Lead Teacher.** A substitute lead teacher who has been in the class fewer than 10 school days may not be observed. When the lead teacher is out and the *same* substitute has been in the class for 10 or more consecutive school days, the class may be observed.
- ▶ **Substitutes for Assistant Teacher.** So long as the lead teacher—either the permanent teacher or a substitute who has been in the class for 10 or more consecutive school days— is observable, the presence of a substitute assistant teacher *should not affect* an observation. A substitute assistant teacher may be observed even if he or she has been in the classroom fewer than 10 days as long as the lead teacher is observable.
- ▶ **Assistant Taking Lead Teacher Role.** If the regular assistant teacher is acting as the substitute lead teacher due to the lead teacher’s absence or involvement elsewhere, an observation *may* be conducted.
- ▶ **Floater as Lead Teacher.** If a school-wide floater is acting as a substitute lead teacher, the observation *may not* be conducted.

2.3 Reviewer Presence in the Classroom

It is important that Reviewers minimize the effect of their presence in the classroom and do not interfere with either the activities or dynamics of the class. Observation cycles may be conducted from more than one vantage point depending on classroom activity. The Reviewer should find a place to observe from which all or most of the activities can be seen and where teacher-child and child-child interactions can be heard.

When entering the classroom, the Reviewer should acknowledge the teacher and quickly introduce him- or herself provided it does not disrupt the flow of activities. (For example, a simple nod, wave, or “hello” smile may be sufficient.) While observing, Reviewers should remain neutral, avoiding any nonverbal cues, such as smiles or looks of concern, that teachers may perceive as feedback on their performance.

Reviewers are required to take notes for reference during scoring. Reviewers sometimes take notes on paper and sometimes use laptops. When using laptops, Reviewers should ensure that the computer does not interfere with classroom activities or with the observation. Reviewers should ensure the laptop is sufficiently charged before entering the classroom so that they do not need an outlet, or they should plug in discreetly without disturbing children or posing a safety hazard.

2.4 Interacting With Grantee Staff, Children, and Teachers in the Classroom

Reviewers should avoid any interactions with teachers and children while they are observing. If children approach the CLASS[®] Reviewer to inquire about his or her presence, the Reviewer should be honest and direct, saying something like, “I am here to see your class,” while avoiding extended conversations that would interfere with the children’s ability to engage in activities and the Reviewer’s ability to observe.

Reviewers may respond to grantee staff’s and teachers’ questions about the process (e.g., regarding the length of the observation), but CLASS[®] Reviewers may not provide any information about scores or observations. If CLASS[®] Reviewers are asked about the scores, they must respectfully decline to answer.

CLASS[®] Reviewers are not responsible for explaining the CLASS[®] tool or the rationale of the CLASS[®] methodology to grantees or staff. Grantees should be aware that the OHS is required to use the CLASS[®] tool for monitoring all grantees that provide Head Start center-based preschool services. CLASS[®] Reviewers may refer questions to the CLASS[®] Content Area Lead (CAL) or the Head Start Director. The CLASS[®] CAL may refer grantees to the 2008 Information Memorandum for more information, at: (http://eclkc.ohs.acf.hhs.gov/hslc/standards/IMS/2008/resour_ime_011_0081908.html)

3. CLASS[®] Reviewer Reliability

The OHS maintains a reliability system to ensure all CLASS[®] Reviewers implement the tool and the methodology correctly.

In FY 2016, each CLASS[®] Reviewer must pass two tests of reliability. The first is the recertification process administered by Teachstone. All CLASS[®] Reviewers must recertify annually.

Dual coding, which is the second reliability test, is the process the OHS maintains to ensure Reviewers are coding accurately. During dual coding, each CLASS[®] Reviewer will code alongside a qualified CLASS[®] Dual Coder to ensure that the CLASS[®] Reviewer is reliable. Each CLASS[®] Reviewer will be dual coded once per year.

All CLASS[®] Reviewers receive training and support from both Teachstone and the OHS Monitoring System (OHSMS) contract team throughout the year.

4. Onsite Activities—Executing a CLASS[®] Review

4.1 CLASS[®] Reviewers' First Day On Site

The CLASS[®] Reviewer will provide the Sample List and a general observation schedule to the grantee's Director and the grantee's CLASS[®] point person the first morning of the review. The grantee's CLASS[®] point person should review the observation schedule and check for any unanticipated conflicts (e.g., a sick teacher or a closed center) before the Reviewer begins conducting observations.¹ The CLASS[®] Reviewer must confirm the following during the meeting with the grantee's CLASS[®] point person to ensure the observations proceed smoothly. (These topics should have been discussed previously between the CAL and the grantee's Director/Point Person.)

Meeting with the Grantee Director and CLASS[®] Point Person

Topic	Details
Overview of the CLASS[®] process	Reviewers should discuss the CLASS [®] methodology and explain that CLASS [®] Reviewers must follow this methodology to get the most accurate representation of the quality of the classrooms observed.
Confirm center locations	Reviewers should confirm the address of each center in the sample and identify any transportation challenges, such as parking/traffic. If the program is part of a school district, the grantee may need to notify elementary schools of the Reviewer's impending visit.
Request basic class information	For each class in the sample, Reviewers should request: <ul style="list-style-type: none"> ▶ The language of instruction and other languages spoken in the class ▶ Classrooms identified by the Director as having a language of instruction other than English ▶ Updates to Head Start Enterprise System (HSES) daily classroom schedules ▶ Information about any substitute teachers

¹ For AI/AN Reviews, the CLASS[®] Reviewer must stay for the entire introductory meeting. In these instances, the FTL and CLASS[®] Reviewer will begin the Monitoring Review by meeting with grantee staff to learn about the Tribe. The Reviewer will use this time to learn from the Tribe and collect information regarding how the Tribe conducts meals, the language spoken, communication practices between children and adults, norms related to eye contact and body language, how stories are told, etc.

Topic	Details
Assess viability of CLASS[®] observations in each class	Reviewers should ask: <ul style="list-style-type: none"> ▶ Is the lead teacher present, with no planned time away during the review week? (If there is a substitute or new teacher, the Reviewer should ask how long he or she has been working in the class.) ▶ Are any special events planned that might prevent the Reviewer from conducting observations? ▶ Are there any challenges related to the class schedule (e.g., late start every Friday)? ▶
Review the planned schedule	Reviewers should ask the grantee (and delegates, as appropriate) to review the observation schedule to confirm it is feasible given distances, etc., and to identify any problems or anticipated challenges.
Transportation	All CLASS [®] Reviewers are expected to drive to each site to ensure the sample can be met.

The grantee’s point person will contact the CLASS[®] CAL should there be an unexpected occurrence that will impact the schedule of observations. For example, if a teacher calls in sick or a center is closed due to inclement weather, the Reviewer should find this out *before* arriving at that location. The CLASS[®] CAL may participate in these discussions by telephone.

4.2 Communicating with the CLASS[®] CAL

CLASS[®] Reviewers must check in daily with the CLASS[®] CAL (and other CLASS[®] Reviewers, when applicable) to report any schedule changes, sampling issues encountered, and anything of note that they observed in the classroom (e.g., health and safety issues). **5. The CLASS[®] Sample and Scheduling**

5. The CLASS[®] Sample and Scheduling

5.1 How classes are selected for observation

The OHS has implemented a random-sampling procedure to ensure that an adequate representative sample of classes is observed and forms the basis of the CLASS[®] score. The sample of classes to be observed by the CLASS[®] Reviewer will be prepopulated in the software 7 days prior to the review. This list is not to be shared with the grantee until the team arrives on site.

The sample size, or number of classes, to be observed is a statistically driven calculation based on the grantee’s total number of eligible classes; it is not a percentage. Samples range in size. At smaller grantees—those with up to 11 eligible classes—CLASS[®] Reviewers can expect to observe all eligible

classes. For large grantees, the sample size may be as large as 74 classes. **CLASS[®] Reviewers should do everything possible to adhere to the sample—both in terms of the number of classes identified and the precise classes selected to be observed.**

The list of classes in the sample (the Sample List) will identify the exact classes that must be observed, background information about the classes (e.g., schedule, type of program), and the center in which each classroom is located.^{2,3,4} The sample is based on (HSES) data. Inaccurate HSES data increase costs and require additional resources to adjust the sample.

5.2 Scheduling observations from the Sample List

Reviewers must do everything possible to visit all classes in the Sample List and preserve the original sample. The CLASS[®] CAL will create an initial schedule for the CLASS[®] Reviewer's observations. As the CLASS[®] Reviewer meets with the grantee and learns more about the locations of the selected classrooms and other circumstances that may impact the observations; the CLASS[®] Reviewer, with input from the CLASS[®] CAL, may and should make adjustments to the schedule to maximize the likelihood of observing all classes in the sample. The CLASS[®] CAL should be notified of any changes to the schedule. Helpful tips to consider when reviewing the observation schedule include:

² CLASS[®] observations should be conducted in Head Start center-based classes serving preschool children. These classes can either be part of a center-based or a combination program option. Observations should never be made using the Pre-K CLASS[®] tool in Family Child Care or home-based settings, including socializations.

³ When the sample includes a double-session, the CLASS[®] Reviewer should make sure he or she goes to the correct session by looking at the program variation for the class on the Sample List. It is possible for both the morning and afternoon sessions of a double-session class to be included in the sample. In this case, both should be observed.

⁴ When the sample includes combination-option classes, CLASS[®] Reviewers should observe during the center-based segment. The class schedule on the Sample List should indicate the days on which the center-based segment is held; however, the CLASS[®] Reviewer should confirm this with the Grantee during the scheduling process.

- ▶ Scheduling hard-to-reach classes early in the week
- ▶ Scheduling classes that meet only two or three times early in the week
- ▶ Clustering observations at one center
- ▶ Using the children's nap time to eat lunch or get to the next location

5.3 When to Rearrange the Schedule or Select Replacement Classes

During the course of a Monitoring Review, CLASS[®] Reviewers are likely to encounter situations in which they must make quick decisions around whether to observe a class or rearrange the observation schedule. CLASS[®] Reviewers should be prepared to make their best judgment about whether to continue observations or change plans. The CLASS[®] observation should occur when the class is experiencing its typical scheduled activities. Reviewers must not ask grantees to alter their planned activities, and grantees should adhere to their planned daily classroom schedule. CLASS[®] Reviewers should keep the following guiding principles in mind when making these decisions:

1. Preserve the original classes sampled. It is better to observe classes listed in the original Sample List than to select replacement classes, even if this sometimes means observing only one cycle in a classroom listed in the original list. It is acceptable to occasionally observe only one cycle in a class.

2. Preserve the original sample size. It is better to observe a replacement class than to skip a class altogether and not achieve the original sample size.

3. Breadth over depth. It is better to observe in more classes across the different centers and delegates than to observe more observation cycles within the same class.

Determining Whether a Class Can Be Observed

To determine whether a class can be observed, CLASS[®] Reviewers should go through the following questions:

Question #1: Can two consecutive cycles be observed in the class today?

Question #2: Can two consecutive cycles be observed in the class on a different day?

If the answer to these questions is No, the Reviewer should ask:

Question #3: Can one cycle be observed in the class today or on a different day?

If the answer to question #3 is also No, the Reviewer should replace the class.

When a Class Must Be Replaced

If a situation arises in which a CLASS[®] Reviewer cannot observe a class and must select a replacement, he or she should use the software to do so and should never simply pick a replacement class based on convenience. In addition, they must communicate immediately with the CLASS[®] CAL via telephone or text message to provide the CLASS[®] CAL the opportunity to help rearrange the schedule in a way that minimizes scheduling conflicts or overlapping observations with the other Reviewers. If the CLASS[®] CAL and the CLASS[®] Reviewers are not able to communicate as soon as the need for rescheduling arises, CLASS[®] Reviewers must use their best judgment to proceed efficiently with a rearranged observation schedule.

Reviewers are able to select a replacement in the software without connecting to the Internet. However, if two CLASS[®] Reviewers select replacements without synching, it is possible more than one Reviewer will be presented with the same replacement class in the software. If this occurs, one of the CLASS[®] Reviewers should observe the class selected as a replacement and the other Reviewer(s) should select a replacement.

When a Class Must Be Skipped

At the end of the review, when CLASS[®] Reviewers close out, if any classes on the Sample List were not observed, the CLASS[®] Reviewers should open each unfinished observation cycle and mark that they were unable to observe the class, provide a justification, and indicate that they do not want a

replacement class. The CLASS[®] CAL will also need to comment as to why any sampled classes were not observed when closing out the review. At the conclusion of each day, the CLASS[®] Reviewer is required to check in with the CLASS[®] CAL.

Appendix: Glossary

Class: A unique group of children and a teacher

Classroom: The physical space used by a class

Cycle or Observation Cycle: One 20-minute observation plus the 10-minute scoring period

Class Eligible for Sample: Center-based or combination-option preschool classes in Head Start programs

CLASS[®] Reviewer: An individual who is a CLASS[®]-certified observer and has been assigned to conduct the CLASS[®] portion of a Head Start Monitoring Review by the OHS's contractor: Danya International, Inc.

CLASS[®] CAL: Virtual CLASS[®] Content Area Lead

CLASS[®] Pre-K Observation Manual: Official CLASS[®] manual published by Brookes Publishing

Content Area Lead (CAL): The grantee's point of contact during the CLASS[®] Review

Double Session: A center-based option employing a single teacher to work with one group of children in the morning and a different group in the afternoon

New Teacher: A teacher who has been in the class for fewer than 10 consecutive school days and should therefore NOT be observed

Observation: Two complete observation cycles conducted in a single classroom (a 20-minute observation followed by 10 minutes of scoring)

Preserving the Sample: There are two ways in which it is important to preserve, keep, or maintain the sample: (1) Observations are conducted in all the classes specified in the Sample List; and (2) the quantity or number of CLASS[®] observation cycles conducted is equal to the original sample, but replacements were made to achieve the exact quantity defined by the original sample.

Primary Teaching Staff: The teachers assigned to a group of children

Replacing a Class: When a class selected for the sample cannot be observed, but another class can be observed in its place

Sample List: The list of classes to be observed at a grantee generated by the software and based on a statistical sampling algorithm

Scoring: The process of scoring each dimension of the CLASS[®] instrument, which must occur in the 10 minutes immediately following a 20-minute period of observation

Skipping a Class: When a class selected for the sample cannot be observed, and no other class can be observed in its place due to logistics or timing